IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. AUS920030562US1

n re Application of:

DON DAY, ET AL.

Examiner: Not yet assigned

Serial No.: 10/631,059

§ §

Filed: **JULY 31, 2003**

Art Unit: 2171

For: METHOD, SYSTEM AND

PROGRAM PRODUCT FOR

PROVIDING AUTOMATED SENDER STATUS IN A MESSAGING SESSION

INFORMATION DISCLOSURE STATEMENT **PURSUANT TO 37 C.F.R. § 1.97(b)**

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Applicants request that the information listed on the attached (Substitute) Form PTO-1449 be considered by the Office during the pendency of the above-entitled application, pursuant to 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97 (b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

No fee is believed to be necessary; however, in the event that any fee is required, please charge it to IBM Corporation's Deposit Account Number 09-0447.

Respectfully submitted,

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	Filing Date	July 31, 2003
	First Names Inventor	Don Day, et al.
	Art Unit	2171
	Examiner Name	Not yet assigned
	Attorney Docket Number	AUS920030562US1
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forms are submitted.

☐ Total of

□ Applicant/Inventor
□ Assignee of record of the entire interest.
□ Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
□ Attorney or Agent of record. Registration Number 39.083.
□ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration.
See 37 CFR 1.33(a)(1). Registration Number ______.

Typed or Printed Name Craig J. Yudell

Signature

Date 04-07-2004

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This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is

e form 1449/PTO Complete if Known 10/631,059 Application Number 07-31-2003 Filing Date INFORMATION DISCLOSURE First Named Inventor Don Day, et al. STATEMENT BY APPLICANT **Group Art Unit** 2171 Not yet assigned **Examiner Name** AUS920030562US1 Attorney Docket Number 1 . 1 Sheet of U.S. PATENT DOCUMENTS U.S. Patent Document **Publication Date** IDS Examiner Name of Patentee or Applicant MM-DD-YYYY Doc# Number **Initials** COOK, ET AL. 01-08-2002 US 6338044 B1 ALTSCHULER, ET AL. 12-11-2001 US 6330554 B1 US 6301609 B1 ARAVAMUDAN, ET AL. 10-09-2001 05-20-2001 US 6205432 B1 GABBARD, ET AL. 09-12-2000 PECKOVER 6119101 COLEMAN 02-28-2002 US2002/0026351 A1 US2002/0048349 A1 BIXLER, ET AL. 04-25-2002 KITSUWAKA, ET AL. 05-16-2002 US2002/0059590 A1 04-18-2002 FRENGUT, ET AL. US2002/0046099 A1 FOREIGN PATENT DOCUMENTS IDS Office Foreign Patent Name of Patentee or Date of English Examiner Doc# Document No. Applicant Publicat Translation **Initials** ion OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), IDS English Examiner title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), Doc# Translation **Initials** volume-issue number(s), publisher, city and/or country where published U.S. Patent Application Serial No. 09/294,358, filed April 20, 1999, titled "Buddy List for Telephone Network" U.S. Patent Application Serial No. 10/237,650, filed September 9, 2002, titled "Instant Messaging with Caller Identification" U.S. Patent Application Serial No. 10/347,095, filed January 18, 2003, titled "Instant Messaging System with Privacy Codes" U.S. Patent Application Serial No. 10/347,087, filed January 18, 2003, titled "Method and System for Publication of Instant Messaging Privacy Codes" U.S. Patent Application Serial No. 10/411,468, filed April 10, 2003, titled "User Control of Off-Line Messaging" U.S. Patent Application Serial No. 10/411,412, filed April 10, 2003, titled "Timing of Off-Line Messaging" U.S. Patent Application Serial No. 10/411,416, filed April 10, 2003, titled "Authorized Access to Off-Line Messaging" Date Examiner Consider Signature ed

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Typed or Printed Name: SHEMISE RAMDEEN
Signature: WWW.SeRawallw
Date: 04-07-2004
Application Serial No. 10/631,059